

## Number of investor complaints for Stock Broking

| Data for month ending - <u>August 2025</u> |                         |                                     |                           |               |           |                                |                                |                                                |
|--------------------------------------------|-------------------------|-------------------------------------|---------------------------|---------------|-----------|--------------------------------|--------------------------------|------------------------------------------------|
| SN                                         | Received from           | Carried forward from previous month | Received during the month | Total Pending | Resolved* | Pending at the end of month**  |                                | Average Resolution time <sup>^</sup> (in days) |
|                                            |                         |                                     |                           |               |           | Pending for less than 3 months | Pending for more than 3 months |                                                |
| 1                                          | 2                       | 3                                   | 4                         | 5             | 6         | 7                              |                                | 8                                              |
| 1                                          | Directly from Investors | 0                                   | 0                         | 0             | 0         | 0                              | 0                              | 0                                              |
| 2                                          | SEBI (SCORES)           | 0                                   | 0                         | 0             | 0         | 0                              | 0                              | 0                                              |
| 3                                          | Stock Exchanges         | 0                                   | 0                         | 0             | 0         | 0                              | 0                              | 0                                              |
| 4                                          | Other Sources (if any)  | 0                                   | 0                         | 0             | 0         | 0                              | 0                              | 0                                              |
| 5                                          | <b>Grand Total</b>      | <b>0</b>                            | <b>0</b>                  | <b>0</b>      | <b>0</b>  | <b>0</b>                       | <b>0</b>                       | <b>0</b>                                       |

| Trend of monthly disposal of complaints |          |                                     |          |           |           |
|-----------------------------------------|----------|-------------------------------------|----------|-----------|-----------|
| SN                                      | Month    | Carried forward from previous month | Received | Resolved* | Pending** |
| 1                                       | Sep-2024 | 0                                   | 0        | 0         | 0         |
| 2                                       | Oct-2024 | 0                                   | 0        | 0         | 0         |
| 3                                       | Nov-2024 | 0                                   | 0        | 0         | 0         |
| 4                                       | Dec-2024 | 0                                   | 0        | 0         | 0         |
| 5                                       | Jan-2025 | 0                                   | 0        | 0         | 0         |
| 6                                       | Feb-2025 | 0                                   | 0        | 0         | 0         |
| 7                                       | Mar-2025 | 0                                   | 0        | 0         | 0         |
| 8                                       | Apr-2025 | 0                                   | 0        | 0         | 0         |
| 9                                       | May-2025 | 0                                   | 0        | 0         | 0         |
| 10                                      | Jun-2025 | 0                                   | 0        | 0         | 0         |
| 11                                      | Jul-2025 | 0                                   | 0        | 0         | 0         |
| 12                                      | Aug-2025 | 0                                   | 0        | 0         | 0         |
| <b>Grand Total</b>                      |          | <b>0</b>                            | <b>0</b> | <b>0</b>  | <b>0</b>  |

\* Should include complaints of previous months resolved in the current month, if any.

\*\* Should include total complaints pending as on the last day of the month, if any.

<sup>^</sup> Average resolution time is the sum total of time taken to resolve each complaint in the current month divided by total number of complaints resolved in the current month.

| Trend of annual disposal of complaints |         |                                    |                          |                          |                                |
|----------------------------------------|---------|------------------------------------|--------------------------|--------------------------|--------------------------------|
| SN                                     | Year    | Carried forward from previous year | Received During the year | Resolved during the year | Pending at the end of the Year |
| 1                                      | 2020-21 | 0                                  | 0                        | 0                        | 0                              |
| 2                                      | 2021-22 | 0                                  | 0                        | 0                        | 0                              |
| 3                                      | 2022-23 | 0                                  | 0                        | 0                        | 0                              |
| 4                                      | 2023-24 | 0                                  | 0                        | 0                        | 0                              |
| 5                                      | 2024-25 | 0                                  | 0                        | 0                        | 0                              |
| <b>Grand Total</b>                     |         | <b>0</b>                           | <b>0</b>                 | <b>0</b>                 | <b>0</b>                       |